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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | Attorney Docket No. 2968-150 | | | |
| | | First Inventor Peter K. T. PANG | | | |
| | | Title A PREPARATION DERIVED FROM SHARK CARTILAGE FOR TREATMENT OF DISEASES RELATED TO EXCESSIVE PHF OR EXCESSIVE INTRACELLULAR CALCIUM | | | |
| <input checked="" type="checkbox"/> Applicant for Published Patent Application: | | | | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, D.C. 20231 | | | |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.</p> <p>3. <input checked="" type="checkbox"/> Specification Total pages [17] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none">- Descriptive title of the invention- Cross references to Related Applications- Statement Regarding Fed sponsored R&D- Reference to sequence listing, a table or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings- Detailed Description- Claims- Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets) [8]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [2]</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, <p>6. <input checked="" type="checkbox"/> Application Data Sheet.</p> | | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statement verifying identity of above copies <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement /PTO 1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s).</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).</p> <p>17. <input checked="" type="checkbox"/> Other: Associate Power and Change of Address</p> | | | |
| <p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::</p> <p><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/462,094</p> <p>Prior application information: Examiner <u>Michael L. Borin</u> Group/Art Unit <u>1631</u></p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p> | | | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label 6449 | | | | | |
| Address | | Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W. | | | |
| City | Washington | State | D.C. | Zip Code | 20005 |
| Country | U.S.A. | Telephone | 202-783-6040 | Fax | 202-783-6031 |
| Name | | Jeffrey L. Ihnen, Reg. No. 28,957 for Robert B. Murray, Reg. No. 22,980 | | | |
| Signature | |  | | Date 14 April 2004 | |

U.S. PTO
10/825661

041604

23026 U.S. PTO

FEE TRANSMITTAL for FY 2003 (Small Entity)

Complete if Known

| | |
|-------------------------|--|
| Application Number | Continuation Application of 09/462,094 |
| Filing Date | Herewith |
| First Named Inventor | Peter K. T. PANG |
| Examiner Name | Michael L. Borin |
| Group Art Unit | 1631 |
| Total Amount of Payment | (\$643) |
| Attorney Docket Number | 2968-150 |

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.
2. ☐ Payment Enclosed:
☐ Check
☐ Credit Card

FEE CALCULATION

1. FILING FEE

| Fee Code | Fee \$ | Fee Description | Fee Paid |
|----------|--------|------------------------|----------|
| 2001 | 385 | Utility filing fee | [385] |
| 2002 | 170 | Design Filing Fee | [] |
| 2003 | 265 | Plant Filing Fee | [] |
| 2004 | 385 | Reissue Filing Fee | [] |
| 2005 | 80 | Provisional Filing Fee | [] |

SUBTOTAL \$385

2. CLAIMS

| Total Claims | Independent Claims | Multiple Dependent Claims | Extra Claims | Fee | Fee Paid |
|--------------|--------------------|---------------------------|----------------|------------|----------|
| [15] | [] | [] | - 20** = [] x | \$ 9 = [] | [] |
| [9] | [] | [] | - 3** = [6] x | 43 = [258] | [] |
| | | | + | 145 = [] | [] |

**or number previously paid, if greater;

SUBTOTAL \$258


FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Fee Paid | Fee Description | Fee Paid |
|----------|----------|--|----------|
| 2051 | 65 | Surcharge - late filing fee or oath | [] |
| 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | [] |
| 1053 | 130 | Non-English specification | [] |
| 1812 | 2,520 | For filing a request for reexamination | [] |
| 1804 | 920 | Requesting publication of SIR prior to Examiner action | [] |
| 1805 | 1,840* | Requesting publication of SIR after Examiner action | [] |
| 2251 | 55 | Extension for reply within first month | [] |
| 2252 | 210 | Extension for reply within second month | [] |
| 2253 | 475 | Extension for reply within third month | [] |
| 2254 | 740 | Extension for reply within fourth month | [] |
| 2255 | 1,005 | Extension for reply within fifth month | [] |
| 2401 | 165 | Notice of Appeal | [] |
| 2402 | 165 | Filing a brief in support of an appeal | [] |
| 2403 | 145 | Request for Oral Hearing | [] |
| 1451 | 1,510 | Petition to institute a public use proceeding | [] |
| 2452 | 55 | Petition to revive -unavoidable | [] |
| 2453 | 475 | Petition to revive - unintentional | [] |
| 2501 | 665 | Utility issue fee (or reissue) | [] |
| 2502 | 240 | Design issue fee | [] |
| 2503 | 320 | Plant issue fee | [] |
| 1460 | 130 | Petitions to the Commissioner | [] |
| 1807 | 50 | Processing fee under 37 CFR 1.17(q) | [] |
| 1806 | 180 | Submission of Information Disclosure Statement | [] |
| 8021 | 40 | Recording each patent assignment per property (times number of properties) | [] |
| 2809 | 385 | Filing a submission after final rejection (37 CFR .129(a)) | [] |
| 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | [] |
| 2801 | 385 | Request for Continued Examination (RCE) | [] |
| 1802 | 900 | Request for expedited examination of a design application | [] |
| 1504 | 300 | Publication fee for early, voluntary, or normal publication | [] |
| 1505 | 300 | Publication fee for republication | [] |
| 1455 | 200 | Filing an application for patent term adjustment | [] |
| 1456 | 400 | Request for reinstatement of term reduced | [] |
| | | Other fee (specify) | [] |

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$

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|----------------------|---|--------------------------|---------|-------------------------|-----|
| SUBMITTED BY | | Complete (if applicable) | | | |
| NAME AND REG. NUMBER | Jeffrey L. Ihnen, Reg. No. 28,957 for Robert B. Murray, Reg. No. 22,980 | | | | |
| SIGNATURE |  | DATE | 4/16/04 | DEPOSIT ACCOUNT USER ID | xxx |